## NATIONAL PHASE UTILITY APPLICATION

Transmittal to the (DO/EO/US) **Under 35 USC 371** 

Attorney Docket No.: TSU-005
First Named Inventor: Line 11.4 Int'l Application No.: PCT/JP03/10804 Int'l Filing Date: August 26, 2003 Priority Date Claimed: September 6, 2002

Title: SIMPLIFIED STEREOTACTIC BRAIN SURGERY DEVICE. AND BAND USED FOR DETERMINING POSITION AT WHICH SUCH DEVICE IS MOUNTED ON

PATIENT HEAD

Express Mail Label No.: EU101166199US

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Date: March 5, 2005

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#### **Mail Stop PCT**

United States Patent and Trademark Office P.O. Box 1450 Alexandria VA 22313-1450

The following enclosures are transmitted herewith to be filed in the patent application of:

Inventors:

Hiroyuki TAI 1.

#### **APPLICATION ELEMENTS:**

- This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371. (X)
- (X) The U.S. has been elected (Article 31).
- A copy of the International Application as filed (35 U.S.C. § 371(c)(2)) has been (X) communicated by the International Bureau.
- PCT/IB/308 enclosed. (X)
- An English translation of the International Application as filed is attached hereto. (X)
- Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. (X) § 371(c)(3)) have not been and will not be made.
- Seventeen (17) sheets of Drawings. (X)
- (X) Declaration and Power of Attorney in 2 pages.

#### **OTHER APPLICATION PARTS:**

- Preliminary Amendment in 5 pages. (X)
- (X) An Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration:
  - (X) Listing eleven (11) references.
  - (X) Enclosing nine (9) references.
- International Search Report (PCT/ISA/210). (X)
- (X) International Application As Published (WO 2004/021911 A1).

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(X) PCT Forms: PCT/ISA/220, PCT/IB/304, PCT/IPEA/408 & 409.

(X) Return prepaid postcard.

#### **FILING FEES:**

The present application qualifies for Small Entity Status under 37 CFR 1.27.

| FEE CALCULATION                       |                           |              |             |          |
|---------------------------------------|---------------------------|--------------|-------------|----------|
| FEE TYPE & DETERMINATION              |                           | SMALL FEE    | CALCULATION | TOTAL    |
| Basic Filing Fee under 1.492(a)       |                           | 2631 (\$150) | ·           | \$150.00 |
| Search Fee under 1.492(b) (en         | ter one in TOTAL column)  |              |             | \$200.00 |
| (2) Foreign Search Report Enclosed    |                           | 2642 (\$200) |             | \$200.00 |
| Examination Fee under 1.49 column)    | 92(c) (enter one in TOTAL |              |             | \$100.00 |
| (2) Non US IPEA / Noncompliant Claims |                           | 2633 (\$100) |             |          |
| Excess Ind. Claims                    |                           | 1 - 3 = 0    |             | 0.00     |
| Excess Claims                         |                           | 9 - 20 = 0   |             | 0.00     |
|                                       | TOTAL FEE DUE             |              |             | \$450.00 |

(X) A credit card information sheet to cover \$450.00 filing fee.

The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to credit card.

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### CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Attorney Docket No. :

TSU-005

Applicant(s)

Hiroyuki TAI

For

Simplified Stereotactic Brain Surgery

Device, And Band Used For

Determining Position At Which Such Device Is Mounted On Patient Head

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**Date of Deposit** 

March 5, 2005

I hereby certify that the accompanying

Transmittal letter; specification in 38 pages; 17 sheets of drawings; Preliminary Amendment 5 pages, International Search Report, International Application as published, PCT forms PCT/IB/304 and 308, PCT/ISA/220, PCT/IPEA/408 and 409, Declaration and Power of Attorney in 2 pages; Information Disclosure Statement, PTO Form 1449 with 9 references enclosed; Credit Card Information Sheet, Return Prepaid Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 223 3-1450.

Kirk Hahn, Ph.D